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COMMUNITY-BASED CHILD ABUSE PREVENTION GRANTS

State Project/Program:	COMMUNITY BASED CHILD ABUSE PREVENTION PROGRAM
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**U. S. DEPARTMENT OF HEALTH AND HUMAN SERVICES
ADMINISTRATION FOR CHILDREN AND FAMILIES**

Federal Authorization: Child Abuse Prevention, Adoption and Family Services Act of 1988, Title I, Public Law 100-294, as amended; Child Abuse, Domestic Violence, Adoption, and Family Services Act of 1992, Public Law 102-295; Juvenile Justice and Delinquency Prevention Act Amendments of 1992, Public Law 102-586; Child Abuse Prevention and Treatment Act (CAPTA) Amendments, Public Law 104-235; 42 U.S.C. 5101 et seq.; CAPTA Reauthorization Act of 2010 (P.L. 111-320); American Rescue Plan Act of 2021, Title II, Subtitle C, Section 2205 (Public Law 117-2)

**N. C. Department of Health and Human Services
Division of Social Services**

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Address Confirmation Letters To:

SFY **2023** audit confirmation reports for payments made to Counties, Local Management Entities (LMEs), Managed Care Organizations (MCOs), Boards of Education, Councils of Government, District Health Departments and DHHS Grant Subrecipients will be available by mid-October at the following web address: <https://www.ncdhhs.gov/about/administrative-offices/office-controller/audit-confirmation-reports>. At this site, click on the link entitled “Audit Confirmation Reports (State Fiscal Year **2022-2023**)”. Additionally, audit confirmation reports for Nongovernmental entities receiving financial assistance from DHHS are found at the same website except select “[Non-Governmental Audit Confirmation Reports \(State Fiscal Years 2021-2023\)](#)”.

The auditor should not consider the Supplement to be “safe harbor” for identifying audit procedures to apply in a particular engagement, but the auditor should be prepared to justify departures from the suggested procedures. The auditor can consider the supplement a “safe harbor” for identification of compliance requirements to be tested if the auditor performs reasonable procedures to ensure that the requirements in the Supplement are current.

The grantor agency may elect to review audit working papers to determine that audit tests are adequate.

Auditors may request documentation of monitoring visits by the State Agencies.

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This compliance supplement must be used in conjunction with the OMB 2023 Compliance Supplement which will be issued in the summer. This includes "Part 3 - Compliance Requirements," for the types that apply, "Part 6 - Internal Control," and "Part 4 - Agency Program" requirements if the Agency issued guidance for a specific program. The OMB Compliance Supplement is Section A of the State Compliance Supplement.

PROGRAM OBJECTIVES

The purposes of the Community Based Child Abuse Prevention Programs (CBCAP) are: (1) to support community-based efforts to develop, operate, expand, enhance, and coordinate initiatives, programs, and activities to prevent child abuse and neglect and to support the coordination of resources and activities to better strengthen and support families to reduce the likelihood of child abuse and neglect; and (2) to foster understanding, appreciation and knowledge of diverse populations in order to effectively prevent and treat child abuse and neglect.

I. PROGRAM PROCEDURES

The North Carolina Department of Health and Human Services (DHHS), Division of Social Services (DSS) obtains this funding by submitting the Annual Progress of Service Report and the CBCAP Annual Plan/Application to the Administration of Children and Families (ACF). Funds are awarded to jurisdictions based on availability. These funds are awarded to the State at a rate of 80% federal financial participation. The State uses in-kind funds from the NC Partnership for Children to meet the required 20% match.

The CBCAP Grant is administered by the North Carolina DHHS - DSS. Family Support Services funds are awarded by DSS through a competitive Request for Applications (RFA), an assessment of the ability and capacity of the organization to implement community-based programs, and availability of funding. An independent review team reviews and scores the applications. The recommendations of this team are forwarded to the Community Prevention Program Manager, Safety and Prevention Section Chief, and Deputy Director for Child Welfare Practice for approval. Once this process is completed, a formal award notification is sent to approved applicants. The applicant's budget becomes part of the contract between the grantee and DSS. The contract narrative will contain a listing of approved services and activities for a particular program.

Subrecipients are reimbursed through submission of the DSS-1571 III Administrative Costs Report to the NC DHHS Controller's Office. A subgrantee under this grant is subject to provisions of Omni Circular. All federal and state requirements are communicated to the subrecipients as part of the RFA and contracting process.

The State is required to monitor, evaluate, and report on all programs funded by this grant in accordance with regulations adopted by DSS, which can be found at <https://www2.ncdhhs.gov/dss/Monitoring/index.htm>.

These funds may be used for an array of programming including but not limited to:

- Provide comprehensive support for parents;
- Promote the development of parenting skills;
- Improve family access to formal and informal resources and supports;
- Support needs of parents with disabilities through respite or other activities;

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- Provide referrals for early health and development services as well as other community-based services;
- Promote meaningful parent leadership;
- Provide Concrete Services; and
- Family Resource Center based activities; and
- Transport family members to and from services and activities.

III. COMPLIANCE REQUIREMENTS

Below on the matrix are the types of compliance requirements are applicable to the federal program, noted as “Y,” as determined by the federal granting agency if the federal program is listed in Part 2 of the OMB 2023 Compliance Supplement. A State agency may have added a Type. If the program is not listed in Part 2, the State Agency has determined the applicable Types. If a Type, applicable by OMB, does not apply at the local level or if the State has modified the federal requirements at the local level, this should be explained in the supplement under the Type. A Type that is not applicable at the local level is noted by “N.”

If a particular Type is noted as “Y,” the auditor must determine if the Type has a direct and material effect on the federal program for the auditee. For each Type of compliance requirement, the auditor must use the OMB 2023 Compliance Supplement, Part 3 (which includes generic details about each compliance requirement other than Special Tests and Provisions) and Part 4 (which includes any program-specific requirements) to perform the audit.

A	B	C	E	F	G	H	I	J	L	M	N
Activities Allowed or Unallowed	Allowable Costs/Cost Principles	Cash Management	Eligibility	Equipment/ Real Property Management	Matching, Level of Effort, Earmarking	Period Of Performance	Procurement Suspension & Debarment	Program Income	Reporting	Subrecipient Monitoring	Special Tests and Provisions
Y	Y	N	Y	N	N	Y	Y	N	Y	Y	N

A. ACTIVITIES ALLOWED OR UNALLOWED

Section 202(1) of the Child Abuse Prevention and Treatment Act provides that funds made available to States must be used for:

- Developing, operating, expanding, and enhancing community-based and prevention-focused programs and activities designed to strengthen and support

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families to prevent child abuse and neglect that are accessible, effective, culturally appropriate, and build on existing strengths that:

- Offer assistance to families, including concrete supports;
 - Provide early, comprehensive support for parents;
 - Promote the development of parenting skills, especially in young parents and parents with very young children;
 - Increase family stability;
 - Improve family and unaccompanied homeless youth access to other formal and informal resources and opportunities for assistance available within communities;
 - Support the additional needs of families with children with disabilities through respite care and other services;
 - Demonstrate a commitment to involving parents in the planning and program implementation of the lead agency and entities carrying out local programs funding under this title, including involvement of parents of children with disabilities, parents who are individuals with disabilities, racial and ethnic minorities, and members of other underrepresented or underserved groups; and
 - Provide referrals to early health and developmental services as well as other community-based services.
- Fostering the development of a continuum of preventive services for children and families, including unaccompanied homeless youth, through State and community-based collaborations and partnerships both public and private;
 - Financing the start-up, maintenance, expansion, or redesign of specific community-based child abuse and neglect prevention program services (such as respite care services, child abuse and neglect prevention activities, disability services, mental health services, substance abuse treatment services, domestic violence services, housing services, transportation, adult education, home visiting and other similar services) identified by the inventory and description of current services required under section 205(a)(3) as an unmet need, and integrated with the network of community-based child abuse and neglect prevention program to the extent practicable given funding levels and community priorities;
 - Maximizing funding through leveraging of funds for the financing, planning, community mobilization, collaboration, assessment, information and referral, startup, training and technical assistance, information management and reporting, reporting and evaluation costs for establishing, operating, or expanding community-based and prevention-focused programs and activities designed to strengthen and support families to prevent child abuse and neglect; and
 - Financing public information activities that focus on the healthy and positive development of parents and children and the promotion of child abuse and neglect prevention activities.

B. ALLOWABLE COSTS/COST PRINCIPLES

- Subrecipients may claim reimbursement for the costs of purchasing any of the above allowable activities from another source.

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- In addition to the above allowable activities, subrecipients may claim reimbursement for the purchase of any other services, with prior written approval from DSS.
- Subrecipients may claim reimbursement for travel costs to meetings and other events.
- Purchase of equipment, and training materials;

All grantees that expend State funds (including federal funds passed through the N. C. Department of Health and Human Services) are required to comply with the cost principles described in the N. C. Administrative Code at 09 NCAC 03M .0201.

E. ELIGIBILITY

Any tribal government, community-based, public or private nonprofit, tax-exempt organization (including faith-based), school system or local government agency that is duly incorporated and registered under North Carolina Statutes is eligible to apply.

CBCAP Services are designated as primary or secondary prevention services. Families who do not have a confirmed or unconfirmed child abuse and neglect report are eligible to receive services.

H. PERIOD OF PERFORMANCE

Funding is received for the Federal Fiscal Year, October 1-September 30, and is distributed by the State Fiscal Year, July 1-June 30. This requirement that has not been passed to subrecipients; therefore additional testing is not required.

I. PROCUREMENT AND SUSPENSION AND DEBARMENT

Procurement

All subrecipients that expend federal funds (received either directly from a federal agency or passed through the N. C. Department of Health and Human Services) are required to conform with federal agency codifications of the grants management common rule accessible at <http://www.whitehouse.gov/omb/>.

All subrecipients that expend State funds (including federal funds passed through the N. C. Department of Health and Human Services) are required to comply with the procurement standards described in the North Carolina General Statutes and the North Carolina Administrative Code, which are identified in the State of North Carolina Agency Purchasing Manual accessible at http://www.pandc.nc.gov/documents/Procurement_Manual_5_8_2013_interactive.pdf.

Nongovernmental subrecipients shall maintain written Procurement policies that are followed in procuring the goods and services required to administer the program.

Suspension and Debarment

The listing of most debarred and suspended parties can be viewed at the following web site: <https://www.sam.gov/SAM/>

L. REPORTING

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This program is required to report financial data on OMB form OCSE-396A annually. Additionally, program data is reported on OMB form #0970-0204 on an annual basis by the state. This program is also required to report programmatic information to the CBCAP Office of ACF once a year. This requirement has not been passed to the subrecipients; therefore, additional testing is not required.

M. SUBRECIPIENT MONITORING

The State monitors the subrecipient to:

- Provide reasonable assurance that the contractor complies with State and federal requirements;
- Ensure that the purchased activity and/or service is being provided in compliance with the written agreement and DSS policy;
- Ensure that funds are expended only for allowable activities and for eligible recipients;
- Requires the contractor to take prompt corrective action where areas of non-compliance are found.

This requirement has not been passed to the subrecipients; therefore, additional testing is not required.