

Agency Matrix for State Programs

Supplement Number (Note A)	State Project/Program	Division If Applicable	Types of Compliance Requirements (Note B)															
			C C	1	2	3	4	5	6	7	8	9	10	11	12	13	14	
N. C. Department of Agriculture																		
AGRI-1	NC ADFP Trust Fund		N	Y	Y	Y	Y	Y	Y	Y	Y	Y	Y	N	-	Y	Y	N
AGRI-2	Appropriations/TVA Settlement Grant Program/Agricultural Initiatives		N	Y	Y	Y	Y	N	N	N	Y	Y	Y	-	Y	Y	N	
AGRI-5	Stream Debris Removal Grants		N	Y	Y	Y	Y	N	Y	N	Y	N	N	-	Y	Y	N	
N. C. Department of Commerce																		
COM-2	Special Appropriations		N	Y	Y	Y	Y	N	N	Y	N	N	N	-	Y	N	N	
COM-5	Disaster Recovery Act of 2016		N	Y	Y	N	Y	N	N	Y	N	N	N	-	Y	N	N	
COM-6	One North Carolina Fund Program		N	N	Y	N	N	Y	Y	Y	Y	N	N		Y	N	N	
COM-7	Industrial Development Fund Utility Account		N	Y	Y	N	Y	Y	N	Y	Y	Y	N	N	Y	N	N	
COM-8	Rural Building Reuse Program		N	Y	Y	N	Y	N	N	N	Y	Y	N	N	Y	N	N	
COM-9	Rural Infrastructure Program		N	Y	Y	N	Y	N	N	N	Y	Y	N	N	Y	N	N	
NC Department of Environment Quality (formerly N. C. Department of Environment & Natural Resources)																		
DEQ-2	NC Clean Water Bond Program		N	Y	Y	Y	Y	N	N	N	N	Y	Y	-	Y	N	Y	
DEQ-8	Scrap Tire Disposal Account Grants Scrap Tire Program		N	Y	Y	N	Y	N	Y	N	Y	N	N	-	Y	N	Y	
DEQ-10	N.C. Clean Water Revolving Loan & Grant Program		N	Y	Y	Y	Y	N	N	N	N	Y	Y	-	Y	Y	Y	

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DEQ-14	Solid Waste Management Outreach Grant Program		N	Y	Y	Y	Y	N	Y	Y	Y	Y	N	-	Y	N	Y
DEQ-16	NC Drinking Water Bond Program		N	Y	Y	Y	Y	N	N	N	N	Y	Y	-	Y	Y	Y
DEQ-17	NC Drinking Water Revolving Loan and Grant Program		N	Y	Y	Y	Y	N	N	N	N	Y	Y	-	Y	Y	Y
DEQ-19	Water Resources Development Project Grant Program		N	Y	Y	N	Y	Y	Y	Y	Y	Y	N	-	Y	N	N
DEQ-22	Public Beach & Coastal Waterfront Access Program		N	Y	Y	Y	Y	N	N	Y	N	N	Y	-	Y	N	Y
DEQ-24	State Clean Diesel Grant		N	Y	Y	Y	Y	N	Y	Y	Y	N	N	-	Y	Y	N
DEQ-28	Electronics Management Fund Distribution / Electronics Management Program		N	Y	Y	N	Y	N	Y	N	Y	N	N	-	Y	N	Y
DEQ-30	Connect NC Bond		N	Y	Y	Y	Y	Y	N	N	N	Y	Y		Y	Y	Y

N. C. Department of Natural and Cultural Resources (formerly NC Department of Cultural Resources)

DNCR-4	Aid to Public Libraries Fund		N	Y	Y	Y	N	Y	N	Y	N	N	N	-	Y	N	N
DNCR-5	Clean Water Management Trust Fund		N	Y	Y	Y	Y	Y	Y	Y	Y	Y	Y	-	Y	Y	Y
DNCR-6	Parks and Recreation Trust Fund		N	Y	Y	Y	Y	N	Y	Y	Y	Y	Y	-	Y	N	Y
DNCR-7	Parks and Recreation Trust Fund- Connect NC Bond		N	Y	Y	Y	Y	N	Y	Y	Y	Y	Y		Y	N	Y
DNCR-8	Special Appropriation		N	Y	Y	N	N	N	N	N	Y	Y	N		Y	Y	Y

N. C. Department of Health and Human Services

DHHS-8	Smart Start -Local Partnerships	DCDEE	N	Y	Y	Y	Y	Y	Y	Y	Y	Y	Y	Y	-	Y	Y	Y
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DHHS-8a	Smart Start - Direct Service Providers	DCDEE	N	Y	Y	Y	Y	Y	Y	N	Y	Y	Y	-	Y	N	Y
DHHS-28	State Foster Care Benefits Program	DSS	Y	Y	N	N	N	Y	N	Y	N	N	N	-	Y	N	N
DHHS-30	State/County Special Assistance for Adults	DAAS	Y	Y	N	N	Y	Y	N	Y	N	N	N	-	Y	N	Y
DHHS-41	Foster Care – At Risk Funds / Comprehensive Treatment Services Program	DSS	Y	Y	Y	N	N	Y	N	Y	N	N	N	-	Y	N	N
DHHS-50	NC Pre-Kindergarten Program	DCDEE	N	Y	Y	Y	N	Y	Y	Y	Y	N	N	-	Y	Y	Y
DHHS-54	Crisis Services	DMH	Y	Y	Y	N	Y	Y	Y	N	N	N	N	-	Y	Y	Y
DHHS-55	LME Systems Performance	DMH	Y	Y	Y	N	Y	Y	Y	N	N	N	N	-	Y	Y	Y
DHHS-58	Children’s Trust Fund	DSS	Y	Y	Y	Y	Y	Y	Y	Y	N	Y		-	Y	Y	N
DHHS-62	State Maternity Home Fund	DSS	N	Y	Y	N	N	Y	N	Y	N	N	N	-	Y	N	N
DHHS-63	Telemedicine / Statewide Telepsychiatry Program	ORH	N	Y	Y	Y	N	Y	Y	N	Y	N	Y	-	Y	Y	N
DHHS-64	North Carolina Child Treatment Program (NC CTP)	DMH	Y	Y	Y	N	Y	Y	Y	N	N	N	N	-	Y	Y	Y
DHHS-66	Boys and Girls Club	DSS	Y	Y	Y	Y	Y	Y	Y	Y	N	Y	N	-	Y	Y	N
DHHS-67	Improving Community Outcomes for Maternal and Child Health	DPH	N	Y	Y	Y	N	Y	Y	Y	Y	Y	Y	-	Y	Y	Y

N. C. Department of Insurance

*GOV-4	State Fire Protection Grant Fund		N	Y	N	Y	Y	Y	Y	N	Y	Y	N	-	Y	N	Y
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N. C. Department of Public Instruction

DPI-3	State Public School Fund (LEAs)		N	Y	N	N	Y	Y	Y	N	Y	Y	N		Y	N	Y
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DPI-4	State Public School Fund (Charter Schools)		Y	Y	Y	N	Y	Y	Y	Y	Y	Y	N		Y	N	Y
DPI-5	Career Technical Education – State: Program Support Funds (PRC 014)		N	Y	N	N	N	N	Y	N	Y	Y	N		Y	N	N
DPI-6	Career and Technical Education State: Months of Employment (PRC013)		N	Y	Y	N	N	N	N	N	N	N	N		Y	N	N
DPI-7	State Textbook Funds		N	N	N	N	N	N	Y	N	N	N	N		N	N	Y
DPI-8	Driver Training (PRC 012)		N	Y	N	N	N	N	Y	Y	Y	Y	N		Y	N	Y
DPI-9	School Technology Fund (PRC 015)		N	Y	N	N	N	N	Y	N	Y	Y	N		Y	N	N
*GOV-1	Public School Building Capital Fund		N	Y	N	Y	N	N	N	Y	N	N	N		Y	Y	N
N. C. Department of Public Safety																	
*GOV-5	Juvenile Crime Prevention Program	DJJ	N	Y	N	Y	N	Y	Y	Y	Y	Y	Y	-	Y	N	N
N. C. Department of Transportation																	
DOT-2	Facility Improvement Program		N	Y	Y	N	Y	N	N	Y	Y	N	N	-	Y	N	N
DOT-3	Human Service Transportation Management Program		N	Y	N	N	Y	Y	N	Y	N	N	N	-	Y	N	N
DOT-4	Non-State System Street-Aid Allocation (Powell Bill)		N	Y	Y	Y	Y	Y	Y	N	Y	Y	N	-	Y	N	Y
DOT-8	State Aid to Airports Program		N	Y	Y	Y	Y	Y	Y	Y	Y	Y	N	-	Y	N	Y
DOT-9	State Maintenance Assistance for Urban and Small Urban Program		N	Y	Y	Y	Y	N	N	Y	Y	Y	N		Y	N	N

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DOT-10	Technology Program		N	Y	Y	Y	Y	N	N	Y	N	N	N		Y	N	N
DOT-11	Transit Developmental Programs (Capital and Noncapital)		N	Y	Y	Y	Y	Y	N	Y	N	N	N	-	Y	N	N
DOT-13	Visitor Center		N	Y	Y	Y	Y	Y	Y	N	Y	N	N	-	Y	N	N
DOT-14	Rural Capital Program		N	Y	Y	Y	Y	N	Y	Y	Y	Y	N	-	Y	N	N
DOT-16CL	Rural Operating Assistance Program (ROAP) Cluster - Elderly and Disabled Transportation Assistance Program (E&DTAP) - Rural General Public Program (RGP) - Work First Transitional / Employment Transportation Assistance		N	Y	Y	Y	Y	Y	N	Y	Y	Y	N	-	Y	Y	N
DOT-18	Highway Construction Program		N	Y	Y	Y	Y	Y	Y	Y	Y	Y	N	-	Y	N	Y
DOT-19	Urban/Regional Bus and Facility Program		N	Y	Y	Y	Y	Y	N	Y	Y	N	N	-	Y	N	N
DOT-20	Regional and New Starts Program		N	Y	Y	Y	Y	N	N	Y	N	N	N	-	Y	N	N
DOT-21	Regional and Intercity Program		N	Y	Y	Y	N	N	N	Y	N	Y	N	-	Y	Y	N
DOT-22	NC Moving Ahead		N	Y	Y	Y	Y	N	Y	Y	Y	Y	N	-	Y	N	N
DOT-23	Rail Industrial Access and Short Line Infrastructure Assistance		N	Y	Y	Y	Y	N	N	Y	Y	Y	N	-	Y	N	N
DOT-24	Regional Bicycle Planning		N	Y	Y	Y	Y	Y	N	Y	Y	Y	N	-	Y	N	N
North Carolina Housing Finance Agency																	
NCHFA-3	Essential Single-family rehabilitation Loan Pool– Disaster recovery		N	Y	Y	Y	Y	Y	N	Y	Y	Y	Y	-	Y	N	Y

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N. C. Office of the Governor																		
	GOV-2	Special Appropriations		N	Y	N	N	Y	N	N	Y	N	N	N	-	Y	N	N
North Carolina Tobacco Trust Fund Commission																		
	AGRI-3	Tobacco Trust Fund Commission		N	Y	Y	Y	Y	Y	Y	Y	Y	Y	N	-	Y	N	N

Division

DHHS-DAAS	Department of Health and Human Services	Division of Aging and Adult Services
DHHS-DCDEE	Department of Health and Human Services	Division of Child Development and Early Education
DHHS-DMH	Department of Health and Human Services	Division of Mental Health, Developmental Disabilities and Substance Abuse Services
DHHS-DSS	Department of Health and Human Services	Division of Social Services
DHHS- ORH	Department of Health and Human Services	Office of Rural Health
DPS-DEM	Department of Public Safety	Division of Emergency Management
DPS-DAC	Department of Public Safety	Division of Adult Correction
DPS-DJJ	Department of Public Safety	Division of Juvenile Justice

Types of Compliance Requirements

CC	Crosscutting Requirements (see Section D)	4	Conflict of Interest	8	Period of Availability of State Funds	12	Reporting
1	Activities Allowed or Unallowed	5	Eligibility	9	Procurement and Suspension and Debarment	13	Subrecipient Monitoring
2	Allowable Costs/Cost Principles	6	Equipment and Real Property Management	10	Program Income	14	Special Tests and Provisions
3	Cash Management	7	Matching, Level of Effort, Earmarking	11	Reserve		

Notes

A The presence of “CL” in the supplement number indicates that the program is a cluster. See the compliance supplement for composition of the cluster.

B The presence of "Y" on the matrix indicates this type of compliance requirement may apply to the State program. The auditor should use the compliance supplements in Section C in planning and performing the tests of compliance required by state agencies.

The presence of a dash (-) indicates the program normally does not have activity subject to this type of compliance requirement. Auditors should determine the compliance requirements applicable to the program. The auditor is responsible for reviewing applicable laws, regulations, contract and grant agreements, notifications from awarding agencies and any other applicable documentation in order to determine compliance requirements which could have a direct and material effect on major programs.