

COLL-92 Form

[September 2020]

NC Department of State Treasurer

Banking Operations Section

sbu.collateral@nctreasurer.com

Election of Pooling Method by Bank

Bank Name:	
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Certification by bank: We, the bank certify that the information in this forms is true and correct to the best of my knowledge and belief. Pursuant to 20 NCAC 07, the rules pertaining to the collateralization of public deposits, we elect to change to the Pooling Method for collateralizing all public deposits through the State Treasurer. All deposit accounts will be monitored by the North Carolina Department of State Treasurer and collateral will be provided for any uninsured amounts. Monthly, quarterly and annual reports will be executed by the Bank and sent to the NC Department of State Treasurer as required by the rules.

Please select one box below.

Account(s) that is (are) within the existing FDIC limits and no action is required.

Account(s) that is (are) over the existing FDIC limits.

Note: Please complete a form to release all of the securities currently pledged to the Public Depositor.

The bank will complete an COLL-95 Form to either:

a. Pledge the same collateral securities to the NC Department of State Treasurer.

b. Pledge other collateral securities to maintain adequate collateral pledged to secure your deposits.

Public Depositor:	
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Note: The Bank is required to file all forms as referred to in 20 NCAC 07 .0105, and any other additional forms required pursuant to 20 NCAC 07.

Authorized Signature: _____

Printed Name: _____

Title: _____

Phone: _____

Email: _____

Date: _____

FOR NC DST USE ONLY:

Intent Letter Received: _____

COLL-93A Received: _____

COLL-93B Received: _____

COLL-91 Received: _____

COLL-95 Received: _____

COLL-97 Received: _____

COLL-95 Processed: _____

COLL-99 Received: _____

Effective Date: _____

Flexcube Updated: _____